## **Joint Legislative Commission on Governmental Operations**

## Follow-up to Request for Information from the January 24, 2012 Meeting

During the January 24<sup>th</sup> meeting, information was requested on the number of queries received by the Lobbying Compliance Division of the Secretary of State's Office that are referred to other entities for a response. The following is a summary of the information provided by the Secretary of State's Office to Fiscal Research regarding queries referred by their Lobbying Division to other agencies.

The Secretary of State's Office was asked to provide the following information for 2006 to 2012:

- 1. The number of queries received by the LCD that were handled by that Division. (Table 1)
- 2. The number of queries to the Lobbying Compliance Division (LCD) that are then referred by the LCD, by agency to which they were referred. (Table 2)
- 3. If available, the number of queries received by the LCD that were referrals from other agencies. (The Office was unable to answer this last question.)
- 1. Received calls. All telephone calls that LCD received on its main number and responded to:

Table 1: LCD Front Desk Phone Calls by Calendar Year						
2009 (Jul. 14 to end of year)	980					
2010	1,743					
2011	657					
2012 (through Feb. 7)	390					

Referrals by LCD to other agencies. To answer this question, LCD staff reviewed front desk and
enforcement attorney telephone logs and various email accounts. Their counts do not include
elections-related calls referred from the Office's main number or by other Office divisions to the
State Board of Elections, as these calls are not tracked.

Table 2: Referrals to Other Agencies in Calendar Years 2006 to 2012										
Agency	2006	2007	2008	2009	2010	2011*	2012*	Total		
City of Raleigh	0	0	0	0	2	0	0	2		
General Assembly	0	0	0	0	3	0	0	3		
Governor's Office	0	0	0	0	2	0	0	2		
N.C. Commissioner of Banks	1	0	0	0	0	0	0	1		
N.C. Real Estate Commission	1	0	0	0	0	0	0	1		
NC Department of Motor Vehicles	0	0	1	0	0	0	0	1		
State Board of Elections	0	7	2	0	3	4	1	17		
State Ethics Board	1	0	0	0	0	0	0	1		
State Ethics Commission**	0	31	2	14	27	8	5	87		
State Highway Patrol/Dept of Admin.	1	0	0	0	0	0	0	1		
Stokes County Board of Election	0	0	0	0	1	0	0	1		
Teacher Licensure Agency (DPI)	0	0	0	0	1	0	0	1		
U.S. Department of Justice	0	0	8	0	0	0	0	8		
Wake County District Attorney	0	0	8	0	0	0	0	8		
Total	4	38	21	14	39	12	6	134		

<sup>\*2012</sup> counts are through 2/7/2012. The Secretary of State's Office also noted that the two positions that had been primarily responsible for referrals were eliminated as of 7/1/2011.

Fiscal Research Division 2/10/2012

<sup>\*\*</sup> Includes complaints referred to the State Ethics Commission pursuant to N.C. Gen. Stat. § 120C-600(a). The Division referred one complaint each in 2009 and 2010, and two complaints in 2011.